



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/460,045
Filed: December 13, 1999
Confirmation No.: 1941
Inventor(s):
Nesmith et al.

Examiner: Colbert, Ella
Art Unit: 3624
Atty. Dkt. No: 5226-00600/EBM

Title: COMPUTERIZED METHOD
AND SYSTEM FOR
TRADING OF SECURITIES

CERTIFICATE OF MAILING
UNDER 37 C.F.R. §1.8
DATE OF DEPOSIT: *September 29, 2005*
I hereby certify that this correspondence is being deposited with
the United States Postal Service with sufficient postage as first
class mail on the date indicated above and is addressed to:
Commissioner for Patents
Alexandria VA 22313
B. Gail Ballard
B. Gail Ballard

REQUEST FOR CONTINUED EXAMINATION
REQUEST TRANSMITTAL
(under 37 CFR § 1.114)

This is a request for continued examination under 37 C.F.R. § 1.114 of application number 09/460,045, filed on December 13, 1999, entitled COMPUTERIZED METHOD AND SYSTEM FOR TRADING OF SECURITIES.	
Inventors(s): Kevin A. Nesmith, Jason W. Abshire, John Bunda, Thomas Dixon, Erik E. Fierce, Todd W. Gruben, Sandi Lester, Jason C. Mongaras and Omar Salinas	
Examiner: Colbert, Ella	Art Unit: 3624
Assignee: Cornerstone Securities Corporation Recorded at Reel 010458, Frame 0137	
Correspondence Address in Prior Application:	Eric B. Meyertons Conley, Rose & Tayon, P.C. P.O. Box 398 Austin, Texas 78767-0398

Application Elements

1. ☒ Filing Fee
☒ A Fee Authorization Form authorizing a deposit account debit for the RCE fee (\$395.00) required under 37 C.F.R. § 1.17(e) is enclosed.
2. ☐ Information Disclosure Statement (IDS)
☐ Copies of IDS Citations
☐ Form(s) PTO-1449 (1 page)
3. Amendments
☒ An amendment is enclosed.
☐ Please **DO NOT** enter the amendment previously filed on _____ under 37 C.F.R. § 1.116.
☐ Enter the unentered amendment previously filed on _____ under 37 C.F.R. § 1.116.

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- ☐ Please consider the arguments in the response filed on _____ under 37 C.F.R. § 1.116.
☐ Please consider the arguments in the Appeal Brief or Reply Brief filed on _____.
4. ☐ Please enter the enclosed affidavits or declarations.
5. ☒ Return Receipt Postcard
6. ☐ Petition under 37 C.F.R. § 1.136 for Extension of Time
7. ☐ Other: _____

☒ New Correspondence address
Customer No.: 35690
Eric B. Meyertons
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If any extensions of time (under 37 C.F.R. § 1.136) are necessary to prevent the above referenced application(s) from becoming abandoned, Applicant(s) hereby petition for such extensions.

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C., Deposit Account No. 50-1505/5226-00600/EBM.

Signature



Name

Mark R. DeLuca, Ph.D.

Registration No.

44,649

Date

9/29/05